



## COMPLIANCE RISK ASSESSMENT

### **Identify opportunities to manage your dealership's risk exposure and insurance needs—quickly and inexpensively.**

Risk is an unavoidable part of doing business. Dealerships that successfully identify and manage their risks consistently achieve their sales and growth goals. Those that don't leave themselves open to failure.

Managing and monitoring risks throughout the dealership means implementing solid, ongoing processes designed to keep those risks in check. A comprehensive internal audit that investigates operational, financial and compliance concerns is a thorough solution, but it can be expensive—and many dealerships find that it's just more than they need.

The Dixon Hughes Dealer Services Group can help. Our Compliance Risk Assessment (CRA) focuses specifically on compliance at a fraction of the cost of a comprehensive internal audit. Our CRA can help identify compliance risks facing your dealership so you can begin to manage and monitor them.

### **Understand your dealership's risks so you can proactively manage them.**

Compliance risks—those associated with laws, regulations and internal company policies and procedures—are many and varied in dealerships around the country.

By implementing best practices to reduce your organization's risk exposure and establishing relationships with insurance providers who support the CRA approach, your organization could recognize cost savings through lower insurance premiums.

Best of all, a Dixon Hughes CRA can bring you the peace of mind of having a clearer picture of your dealership's risks so you can proactively manage them.



*A Dixon Hughes Compliance Risk Assessment looks at critical areas of your dealership quickly and inexpensively.*

## *Additional Assessment Services and Resources*

*A CRA often identifies a variety of additional services that can help dealerships implement best practices. Dixon Hughes is happy to recommend specialists to help dealerships manage:*

- *F&I compliance*
- *Information security*
- *Personnel issues*
- *Safety and environmental concerns*
- *Disaster recovery*
- *Progress tracking*

## *About Dixon Hughes*

*Dixon Hughes is one of the largest firms in the nation, offering accounting and advisory services to automotive dealerships throughout the U.S. Over 100 dedicated professionals in our Dealer Services Group work with dealerships of all sizes and market segments—including several of the largest dealer groups in the country.*

## **Assess critical areas of your dealership.**

A Dixon Hughes CRA assesses critical areas of your dealership, including:

- Cash procedures and controls
- Information security
- Human resources
- Tax filings
- Disaster recovery
- F&I issues
- General liability insurance coverage needs
- Safety and environmental issues
- Compliance Management Capabilities
- And more!

## **Professional Services offered in a Compliance Risk Assessment.**

A Dixon Hughes CRA includes an onsite visit by a Dixon Hughes dealership professional.

- Comprehensive exit report with observations and suggested recommendations that can start you down the path of managing your risks and resulting insurance premium costs.
- Access to Dixon Hughes Solution Providers—professionals who are committed to your dealership's success.

## **Schedule your Compliance Risk Assessment today.**

Find out more about how our Compliance Risk Assessment can benefit you.

Contact us today at 877.DLR.CPAS (877.357.2727).